

SPARCS Update

New York State Department of Health

July/August 2015

Office of Quality and Patient Safety

General Announcements

ICD-10 Beta Testing

The October 1, 2015 implementation of ICD-10 reporting for SPARCS inpatient discharges and outpatient services is fast approaching. To date, there are only 33 inpatient facilities and 17 outpatient facilities that have submitted ICD-10 beta test files. To be prepared for reporting, it is strongly advised that all facilities submit at least one successful test file. Please be aware that exceptions for facilities that are non-compliant will not be granted due to unsuccessful submissions of ICD-10 production data. If you have any questions about the ICD-10 beta data submission process, please call the SPARCS program at 518-473-8144.

Medicaid Breast Cancer Surgery Volume Projections

The Department is in the process of performing its eighth annual review of breast cancer surgical volumes and is pleased to share facilities' provisional breast cancer surgery volume designations (Low-Volume, Low-Volume Approved, and High-Volume) as determined by data submitted to SPARCS through July 2015. These data are in support of the New York State Department of Health policy, whereby Medicaid will not pay for mastectomy or lumpectomy procedures performed at hospital and ambulatory surgery centers averaging fewer than 30 surgeries over a three-year period.

Summer data were emailed to facilities' SPARCS coordinators **on August 3rd**. It is expected that release of these data will streamline the Breast Cancer Selective Contracting process by reducing the number of appeals.

If you have any questions regarding the summer data, please contact Anisa Proj at (518) 486-9012, or send an email to Anisa.Proj@health.ny.gov.

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Health Facilities Own Data (HOD)

HOD is available on the Health Commerce System (HCS) in the input X12-837 version and the new output version, the SPARCS 3000 Master File Format. HOD Versions 5 and 6 will no longer be supported or updated beginning in September 2015. The SPARCS 3000 Master File Format is a 3000 character file. The data dictionaries are found in Appendices V, <http://www.health.ny.gov/statistics/sparcs/sysdoc/appv1.htm>, SPARCS Inpatient Master File Descriptions and VV, <http://www.health.ny.gov/statistics/sparcs/sysdoc/appv2.htm>, SPARCS Outpatient Master File Descriptions. The new file contains several enhancements, such as APR-DRGs, severity of illness (SOI), risk of mortality (ROM), and for the outpatient file, the claim type (“A” ambulatory surgery, “E” emergency department, and “O” outpatient services).

Statistical Briefs

Statistical Brief #10, “New York State Inpatient Hospital Cost Trends, 2009-2012” is now available for download from <http://www.health.ny.gov/statistics/sparcs/sb/docs/sb10.pdf>. The purpose of this brief is to provide trends of estimated costs for New York State inpatient discharges from Article 28 hospitals for the time period 2009 through 2012.

Compliance

Quarterly Reconciliation

All 1st Quarter 2015 SPARCS data for patient visits or discharges occurring in the months of January, February, or March 2015 are due **September 30, 2015**. Two warning notices have been emailed to SPARCS health care facilities that either have outstanding data to submit or months of data that are less than expected. The expected number of records (the target) is the monthly average of the previous year’s number of records discounted by 80/75% depending upon the month reflecting seasonality.

For those facilities that have submitted all their SPARCS data and have months of data with less than the expected number of records, the SPARCS coordinator or back up coordinator must request an exception for the month(s) and type(s) with less than the expected number of records. An explanation for the smaller number of records should be included in the email, if the facility knows the reason for the decreased numbers. The request should be made to SPARCS.submission@health.ny.gov.

Facilities with unresolved errors greater than 1% of their submitted data may not request an exception unless the unresolved errors are fixed or explained. A third and final notice will be sent September 9, 2015. Statement of Deficiencies will be issued October 14, 2015.

Monthly Compliance

Discharge/Visit month	Monthly Submission 95% due by the end of month	First Quarter 2015 Reconciliation Activities
January 2015	March 2015	
February 2015	April 2015	

Discharge/Visit month	Monthly Submission 95% due by the end of month	First Quarter 2015 Reconciliation Activities
March 2015	May 2015	
April 2015	June 2015	
May 2015	July 2015	
June 2015	August 2015	
July 2015	September 2015	<u>1st Quarter Reconciliation</u> 1st Warning Email Week of July 13, 2015
August 2015	October 2015	<u>1st Quarter Reconciliation</u> 2nd Warning Email Week of August 17, 2015
September 2015	November 2015	<u>1st Quarter Reconciliation</u> 3 rd Warning Email Week of September 14, 2015 Data Due September 30, 2015
October 2015	December 2015	Statement of Deficiency Issued

Data Release

Data Governance Committee

The SPARCS Data Governance Committee held a meeting on July 8, 2015. The following SPARCS identifiable data requests were presented to the Committee during this July meeting:

Request #	Project Title	Organization Name
1107-04 (S)	Health Services Research Initiative in Musculoskeletal Healthcare Delivery	Hospital for Special Surgery
1211-08 (S)	Comparative Effectiveness of Moving to a Low, versus Remaining in a High, Poverty Neighborhood for Health	Johns Hopkins School of Medicine (JHU)
1507-01	WTC-Heart: A Cohort Study of Heart Diseases in World Trade Center Responders	City University of New York - Queens College
1507-02	Long-term Impact of Health Insurance on Health and Mortality	Northwestern University, School of Law
1507-03	Academic Research on the Effect of Insurance Exchange Structure on Health Insurance Prices and Enrollment	Northwestern University, School of Law

Request #	Project Title	Organization Name
1507-04	Academic Research on the Effect of Public Reporting of Hospital Infection Rates on Infection Rates	Northwestern University, School of Law
1507-05	Academic Research on Factors Determining Hospital Adoption of Electronic Medical Records (EMR)	Northwestern University, School of Law
1507-06	A National Analysis of Short-term Associations between Respiratory Morbidity and Ambient Air Pollutants	Centers for Disease Control and Prevention (CDC)

The SPARCS Data Governance Committee met again on Wednesday, August 19, 2015. The following identifiable data requests were submitted to the Committee during this August meeting:

Request #	Project Title	Organization Name
0810-05(S2)	Inpatient and Outpatient Data for use in www.infoshare.org	CUNY Queens College Urban Studies Department
1312-05(S)	30-Day Hospital Readmissions Following Surgery-Are Patient Returning to the Hospital of their Initial Operation	SUNY Stony Brook University Hospital
1508-01	A Statewide Review of Readmissions and Complications Following Cleft Lip and Cleft Palate Repair	SUNY Stony Brook Medicine
1508-04	Infective Endocarditis and Cerebrovascular Accidents in Adult Congenital Heart Disease	Montefiore Medical Center
1508-08	Identifying Temporal Variation in Disease	Yale University

The next meeting of the SPARCS Data Governance Committee will be held on September 30, 2015.

Limited and Identifiable

The following organizations received SPARCS data files from June 18, 2015 through August 06, 2015.

Organization	Data Type	Years
Hospital Executive Council	Limited	2014
Truven	Limited	2014-2015
North Shore-Long Island Jewish Health System	Identifiable	2013-2015
Treo Solutions	Identifiable	2013-2014
Long Island Health Network	Identifiable	2014
Columbia University	Limited	1995-2014
Western CT Health Network	Limited	2014-2015
SUNY Stony Brook University Hospital	Identifiable	2014
VT Health Care Authority	Limited	2014
North Shore-Long Island Jewish Health System	Identifiable	2013-2015
HANYS Services Inc.	Identifiable	2014

Organization	Data Type	Years
George Washington University	Limited	2009-2014
University of Michigan	Identifiable	2008-2012

The complete list of approved identifiable data requests dating back to 2009 is on the public webpage at the following address: http://www.health.ny.gov/statistics/sparcs/dgc/appr_data_req.htm.

Submitter Notes

Source of Payment Typology

The data elements “Source of Payment Typology I, II, and III”, which were developed by the Public Health Data Standards Consortium (PHDSC), became part of the SPARCS data submission process in 2010. The purpose of these data elements is to make the payer information more reflective of the existing insurance structures and the reimbursement programs. Further, these data elements keep SPARCS in line with the nationally defined billing data elements and the electronic version of the bill format (ASC X12-837). Because of their uses, it is critical that these data elements are reported accurately. Pages 171 to 173 of the SPARCS Submission Data Dictionary (<http://www.health.ny.gov/statistics/sparcs/sysdoc/input5010.pdf>) describe the process for submitting the data elements. Further, Appendix P (<http://www.health.ny.gov/statistics/sparcs/sysdoc/appp.htm>) is the list of the acceptable codes. As part of a data quality initiative, accuracy of Source of Payment reporting will be one of the first data elements SPARCS staff will be evaluating by facility.

If you have any questions about submitting these data elements and their accuracy, please contact the SPARCS program by phone at (518) 474-3189 or by email at sparcs.submissions@health.ny.gov

<p>SPARCS Operations Bureau of Health Informatics Division of Information and Statistics Office of Quality and Patient Safety New York State Department of Health Empire State Plaza Corning Tower, Room 1970 Albany, New York 12237</p> <p>Phone: (518) 474-3189 Fax: (518) 486-3518 Information: sparcs.submissions@health.ny.gov Data Access: sparcs.requests@health.ny.gov Listserv: sparcs-l@health.state.ny.us Website: http://www.health.ny.gov/statistics/sparcs/</p>	<p><i>SPARCS Update newsletters are distributed electronically to individuals who have Health Commerce System (HCS) data upload access, subscribers to SPARCS-L, and other interested parties upon request.</i></p> <p><i>Updates are also available online at:</i> http://www.health.ny.gov/statistics/sparcs/newsletters/</p>
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